



# Air-sea CO<sub>2</sub> fluxes for the Brazilian northeast continental shelf in a climatic transition region



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## ABSTRACT

Oceanographic cruises were carried out in October 2012 (3°S–5°S and 38.5°W–35.5°W) and in September 2014 (1°S–4°S and 43°W–37°W), measuring atmospheric and sea surface CO<sub>2</sub> fugacity (*f*CO<sub>2</sub>) underway in the north-east coast of Brazil. Sea surface water samples were also collected for chlorophyll *a*, nutrients and DOC analysis. During the second cruise, the sampling area covered a transition between semi-arid to more humid areas of the coast, with different hydrologic and rainfall regimes. The seawater *f*CO<sub>2</sub><sup>sw</sup>, in October 2012, was in average 400.9 ± 7.3 μatm and 391.1 ± 6.3 μatm in September 2014. For the atmosphere, the *f*CO<sub>2</sub><sup>atm</sup> in October 2012 was 375.8 ± 2.0 μatm and in September 2014, 368.9 ± 2.2 μatm. The super-saturation of the seawater in relation to the atmosphere indicates a source of CO<sub>2</sub> to the atmosphere. The entire study area presents oligotrophic conditions. Despite the low concentrations, Chl *a* and nutrients presented significant influence on *f*CO<sub>2</sub><sup>sw</sup>, particularly in the westernmost and more humid part of the northeast coast, where river fluxes are three orders of magnitude larger than eastern rivers and rainfall events are more intense and constant. *f*CO<sub>2</sub><sup>sw</sup> spatial distribution presented homogeneity along the same transect and longitudinal heterogeneity, between east and west, reinforcing the hypothesis of transition between two regions of different behaviour. The *f*CO<sub>2</sub><sup>sw</sup> at the eastern portion was controlled by parameters such as temperature and salinity. At the western portion, *f*CO<sub>2</sub><sup>sw</sup> was influenced by nutrient and Chl *a*. Calculated instantaneous CO<sub>2</sub> flux ranged from +1.66 to +7.24 mmol m<sup>-2</sup> d<sup>-1</sup> in the first cruise and +0.89 to +14.62 mmol m<sup>-2</sup> d<sup>-1</sup> in the second cruise.

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## 1. Introduction

Anthropogenic CO<sub>2</sub> emissions to the atmosphere are increasing at a steady rate. The ocean and the terrestrial biosphere absorb a great part of these emissions (Sabine et al., 2004; Canadell et al., 2007). Robust CO<sub>2</sub> climatology (Takahashi et al., 2002; Takahashi et al., 2009) reveals a heterogeneous behaviour, with higher latitudes acting as a sink of CO<sub>2</sub> and lower latitudes acting as a source of CO<sub>2</sub> to the atmosphere. However, the ocean margins are not computed in this analysis and the role of the coastal oceans is not well elucidated. There is a debate about coastal CO<sub>2</sub> distribution (Bauer et al., 2013) and many studies suggest the importance of these regions in carbon budgets and CO<sub>2</sub> fluxes (Tsunogai et al., 1999; Cai et al., 2006; Laruelle et al., 2010; Laruelle et al., 2014; Gruber, 2015).

The coastal waters represent a link between terrestrial and oceanic systems, receiving large amount of continental material through river discharges and groundwater, as well as by exchanges between the atmosphere, sediments and the open ocean. Though it represents a small portion of the total oceanic area, it consists in a major contribution to the global carbon cycle and thus, should not be ignored in the carbon budgets (Borges et al., 2005; Chen and Borges, 2009).

Continental margins tend to show greater space and time variability than most remote regions in the oceans and receive more pressure from human activities. Land use and water resources can also alter coastal systems significantly (Jiang et al., 2013). However, coastal oceans are often misrepresented in the global carbon balance. Many studies, however, have shown interest for these areas and their carbon transfer mechanisms in the ocean-atmosphere interface, which has helped to understand the role of continental margins in the CO<sub>2</sub> fluxes (Chen et al., 2013 and references therein).

There are many studies in the temperate systems and only a few studies in tropical ones. Previous measurements in the tropical Atlantic

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concluded that it is generally a source of  $\text{CO}_2$  to the atmosphere (Andrié et al., 1986; Goyet et al., 1998). Some of its regions represent  $\text{CO}_2$  sinks due to the Amazon River discharge causing strong biological activity and  $\text{CO}_2$  uptake (Ternon et al., 2000; Körtzinger, 2003; Cooley and Yager, 2006; Ibáñez et al., 2016).

Earlier studies have reported few measurements conducted in the coastal zone and more specifically at the Brazilian coast. The scarcity of estimates of air-sea  $\text{CO}_2$  fluxes in regions of the Brazilian continental shelf and the need to understand the effects of climate change on carbon cycle has led to great efforts to measure  $f\text{CO}_2$ . During the 'Ocean Circulation in the Southwest Atlantic Region' program (COROAS),  $f\text{CO}_2$  measurements performed in the Brazilian Southwest region contributed to a better understanding of the role of continental shelf in the ocean carbon cycle (Ito et al., 2005).

In this study,  $f\text{CO}_2$  underway measurements were made in the Brazilian Equatorial Northeastern coastal zone from about  $5^\circ\text{S}$  to  $0^\circ\text{S}$ , as an effort to contribute with oceanographic cruises performed to better understand the  $f\text{CO}_2$  variability in this region.

## 2. The study area

This study concerns the Brazilian Equatorial Northeast continental shelf (Fig. 1), which is narrow, open to the ocean and oligotrophic. In the Northeast of Brazil, semi-arid climate has led to the building of dams, reducing continental run-off and therefore, inputs for the primary productivity in the coastal ocean.

The rainy season usually extends from January to June and the months of July to December mark the dry season. Monthly mean rainfall values (mm) for the years of 2012 and 2014 were below the expected for the period, when compared to the historical mean for the years of (2000–2010) showing a tendency of prolonged drought. Regional rivers such as the Jaguaribe, the Parnaíba and the rivers contributors to São Marcos Bay (SMB) are strongly influenced by climatic seasonality. Average annual rainfall for the Jaguaribe and Parnaíba rivers are respectively: 80 and 91.6 mm. The Parnaíba basin characterizes a transition between the Brazilian northeastern semi-arid region, with intermittent

rivers, and the Amazon, where high rainfall and perennial rivers prevail. For the SMB region, this average is about two times higher, 191.3 mm, representing a more humid coastal area. (Fig. 2).

Trade winds from the SE dominate during the dry season, when wind speed is maximum and associated with the lowest temperature, when this study was performed.

Assessments developed by REVIZEE ('Avaliação do Potencial Sustentável de Recursos Vivos na Zona Econômica Exclusiva') and JOPS-II ('Brazil-German Joint Oceanographic Projects'), pointed out that from the Parnaíba River towards the SMB, climatic conditions change from semi-arid to humid with strongest rainfall periods, tide range changes from meso to macro tides, the shelf becomes wider, with upwelling events and higher primary production (Class I). It represents the passage from the so characterized East Brazil shelf Large Marine Ecosystem (LME) to the North Brazil shelf LME (Knoppers et al., 1999; Ekau and Knoppers, 2003).

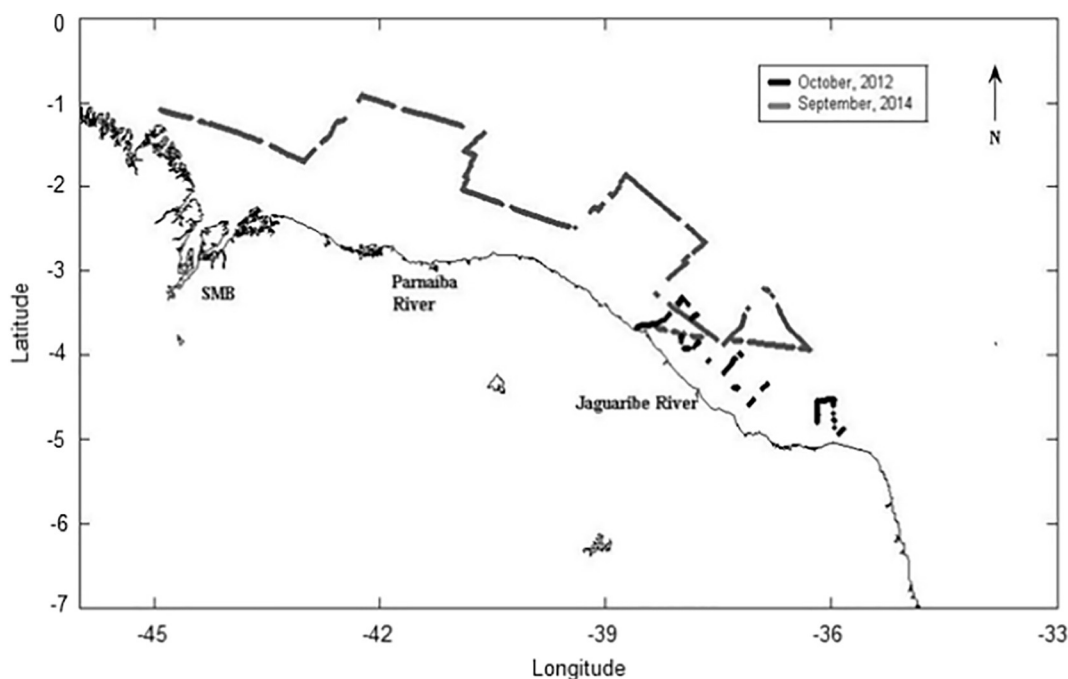
## 3. Methods

### 3.1. Oceanographic cruises and sampling

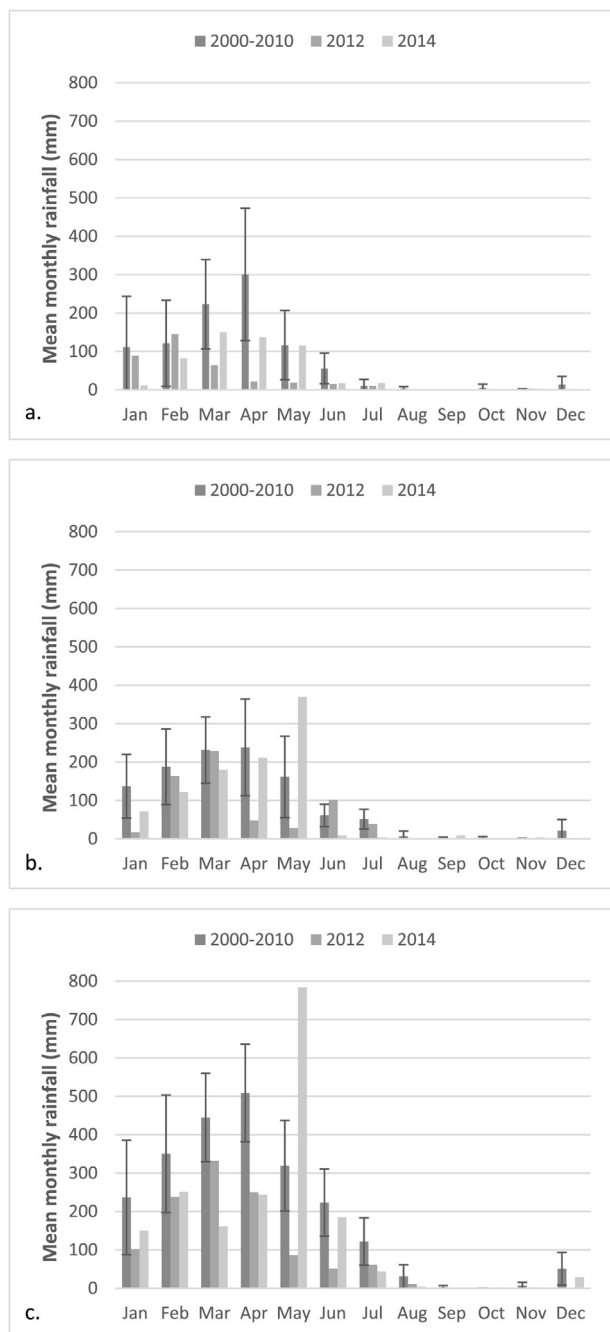
The first cruise started in October 19th 2012, collecting samples along 57 stations distributed in 12 transects perpendicular to the coast and returned in October 26th 2012. It covered an area of approximately 13,000  $\text{km}^2$ , from latitude  $3^\circ\text{S}$  to  $5^\circ\text{S}$  and longitude  $35.5^\circ\text{W}$  to  $38.5^\circ\text{W}$ .

The sampling grid in Fig. 3 included the continental shelf between the isobaths of 10 to 1000 m. This area represents a narrow portion in relation to other Brazilian continental margins, inserted in a typical oligotrophic system, with tropical dry to semi-arid climatic conditions. It aimed to obtain a first screening of the area in relation to  $f\text{CO}_2$  in the air and in the seawater, and to observe the variability between the inner and external shelves as well as the influence of rivers and continental discharges.

The second cruise, carried out from September 7th to the 14th, 2014, proposed a broader sampling grid of the Brazilian continental shelf westwards. Altogether, there were 20 sampling stations, distributed



**Fig. 1.** Cruises' transects in the South Equatorial Atlantic Ocean adjacent to the Brazilian NE continental shelf. First cruise (October 2012) covered a smaller area. The sampling area advanced westwards in the second cruise (September 2014).



**Fig. 2.** Historical monthly mean (2000–2010) of rainfall for the 3 regions a. Jaguaribe, b. Parnaíba and c. SMB, compared to the years of 2012 and 2014. The bar represents the standard deviation of historical averages.

over five transects, covering an area of approximately 66,000 km<sup>2</sup> (Fig. 4). This area, extending from the Jaguaribe River to near the São Marcos Bay, represents a larger and wider portion of the Brazilian continental shelf, less oligotrophic and more humid.

A pCO<sub>2</sub> underway measuring system was installed on board in both cruises. The first cruise used French equipment, assigned for the bilateral cooperation agreement between France and Brazil. In the second cruise pCO<sub>2</sub> measurements were made using a Brazilian prototype, similar to the French system. Other pertinent data (i.e. sea surface temperature, sea surface salinity and wind speed) were collected continuously. Surface seawater samples were collected at each station for chlorophyll *a*, nutrients and dissolved organic carbon analysis.

### 3.2. Hydrographic and meteorological measurements

Sea surface temperature and salinity were obtained from SBE 21 SeaCAT Thermosalinograph every 10 s during both cruises. Surface salinity, in this case, was determined from conductivity salinity using the practical salinity scale (UNESCO, 1985). For the October 2012 cruise, wind speed velocity (*wsp*) was obtained through the ECMWF portal (European Centre for Medium-Range Weather Forecasts) (<http://data-portal.ecmwf.int/>), with a spatial resolution of 1.5° latitude by 1.5° longitude. For the September 2014 cruise, wind speed data was collected directly from the meteorological station at the *NHo-Cruzeiro do Sul*, where wind speed was regularly registered at 30 min intervals.

### 3.3. Chlorophyll *a*, nutrients and dissolved organic carbon (DOC)

The chlorophyll *a* (Chl *a*) analysis followed the method for determination of Chl *a* in seawater (Jeffrey and Humphrey, 1975). At each sampling station, surface water (5 L) was collected, filtered and refrigerated on board. The extraction of Chl *a* was made at the laboratory followed by spectrophotometry analysis (Micronal AJX-6100-PC model).

Absorbance measurements for phosphate and silicate were made using a spectrophotometer (Genesys 2 – Bausch & Lomb) with wavelengths of 880 nm for phosphate and 810 nm for silicate. The precision of the method is  $\pm 0.01 \mu\text{M}$  for phosphate and  $\pm 0.02 \mu\text{M}$ , for silicate (Grasshoff et al., 1999).

Only for the September 2014 cruise, additional analysis of dissolved organic carbon (DOC) was performed using a HyperTOC Analyzer (THERMOScientific) programed with the UV-persulphate (UV\_NPOC) oxidation method (THERMO, 2008).

### 3.4. fCO<sub>2</sub> surface seawater and atmosphere measurements.

Both pCO<sub>2</sub> underway measuring systems used in the cruises work as described by Pierrot et al. (2009), using air-water equilibrators and an infrared analyzer for CO<sub>2</sub> quantification. A continuous flux of surface seawater (approximately 2.5 L min<sup>-1</sup>) supplied by the vessel's pump equilibrates with air taken from the top of the vessel (at 10 m high to avoid any contamination from the ship smoke). The gas, free from humidity, passes through a non-dispersive infrared analyzer (LI-COR®, model LI-7000 CO<sub>2</sub>/H<sub>2</sub>O gas analyzer) for measurements of CO<sub>2</sub> concentrations in the seawater and in the atmosphere.

An electronic device using a LabVIEW 7.0 software controls the pCO<sub>2</sub> system. The program recorded and averaged the following parameters every 5 min: date and time, position of the ship, velocity of the ship, molar fraction of CO<sub>2</sub> in the equilibrator ( $x\text{CO}_2^{\text{eq}}$ ), water content in the detector, sea surface temperature (SST) and sea surface salinity (SSS). After every 6 h of seawater molar fraction recording, atmospheric measurements were taken followed by a cycle of standards: air free from CO<sub>2</sub> (N<sub>2</sub> standard) followed by CO<sub>2</sub> standard gases with concentrations of 286.4 ppm, 359.8 ppm and 506.6 ppm (99.9% purity, supplied by White Martins Gases Industriais Ltda.), which were circulated through the system for comparative measurements.

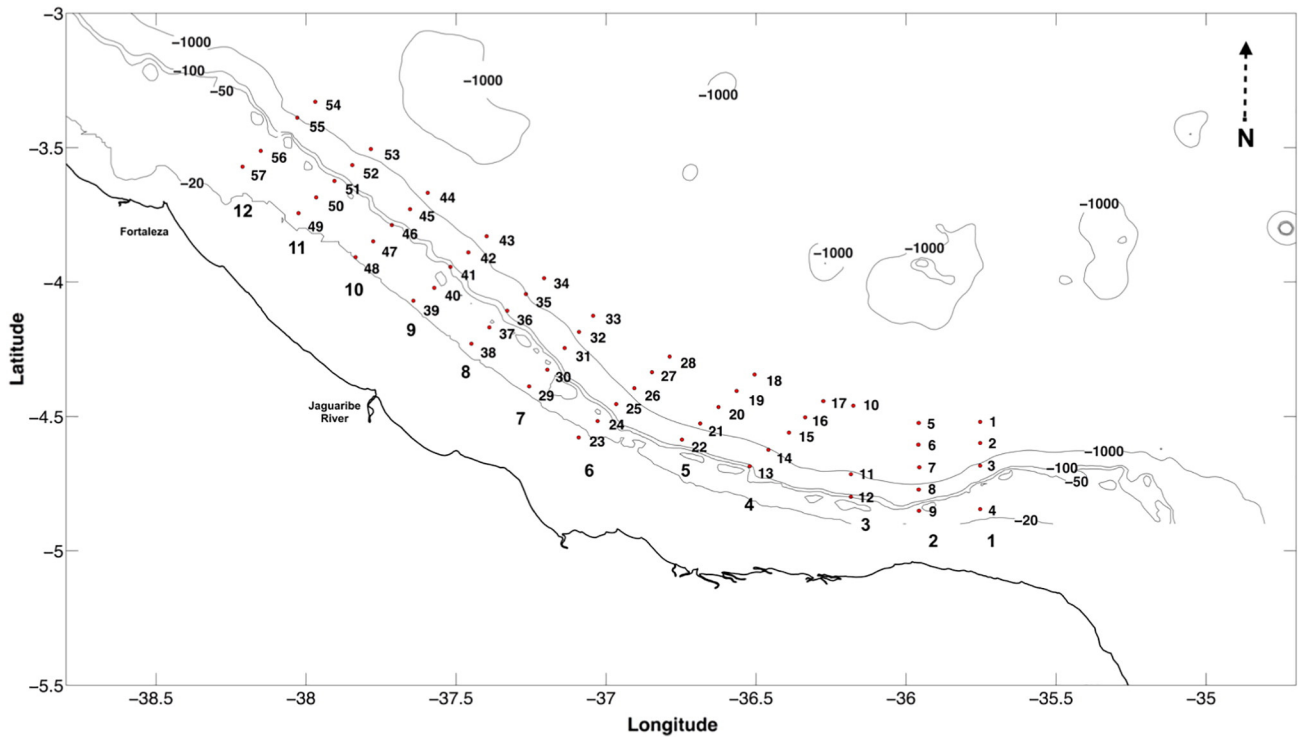
The partial pressure of CO<sub>2</sub> in the equilibrator was computed from the molar fraction of CO<sub>2</sub> in dry air ( $x\text{CO}_2$  expressed in ppm) using:

$$p\text{CO}_2^{\text{eq}} = x\text{CO}_2^{\text{eq}} (\text{Peq} - \text{Pw}(\text{eq})) \quad (1)$$

Peq is the barometric pressure at equilibration (assumed to be the same as the atmospheric pressure at the sea surface) and Pw(eq) is the water vapor pressure (in atm) calculated at the equilibrator temperature from Weiss and Price (1980). The fugacity of CO<sub>2</sub> (in  $\mu\text{atm}$ ) was calculated as follows (DOE, 1994):

$$f\text{CO}_2^{\text{eq}} = p\text{CO}_2^{\text{eq}} \times \exp[(B + 2\delta)\text{Patm}/RT] \quad (2)$$

where  $B = -1636.75 + (12.0408 \cdot T) - (0.0327957T^2) +$

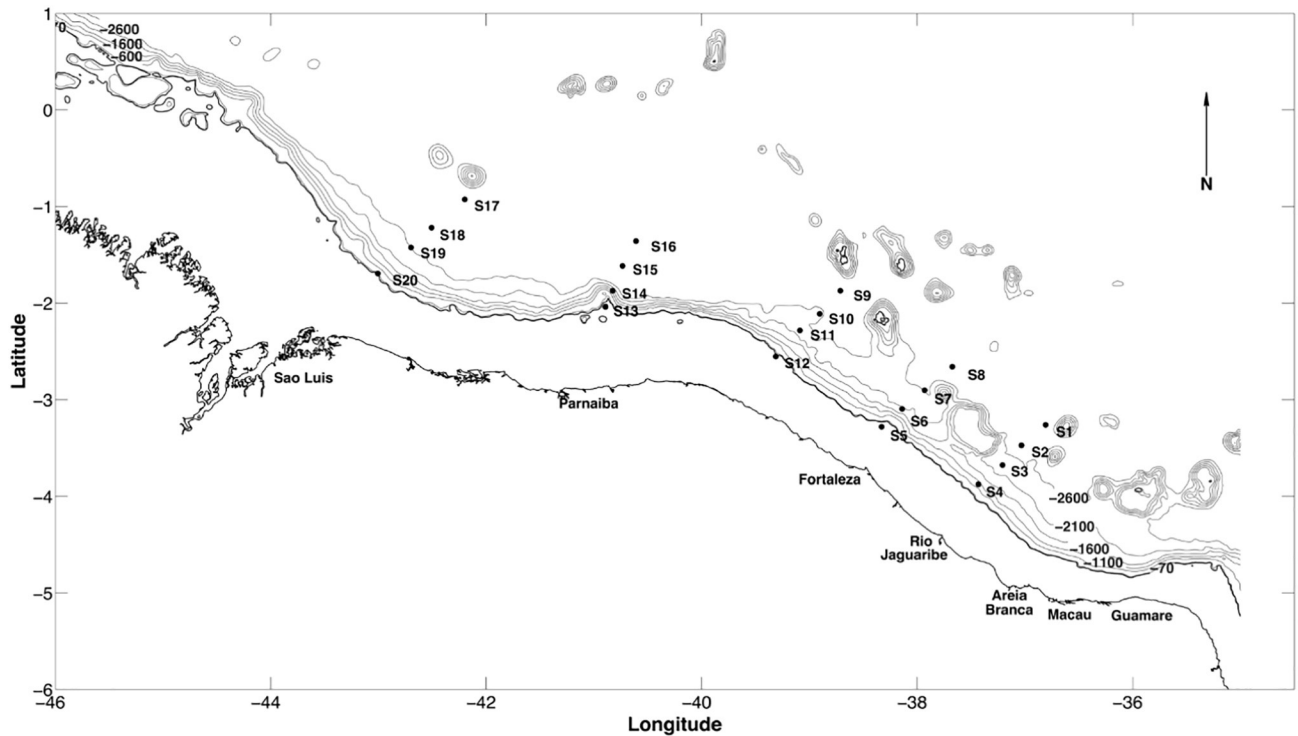


**Fig. 3.** Location of sampling stations in the first campaign (October 2012). A dense sampling grid with 12 transects off the continental shelf eastwards Fortaleza covering 57 stations.

( $0.0000316528 T^3$ ),  $T$  is the equilibrator temperature in Kelvin,  $\delta = 57.7 - 0.118 T$  and  $R = 8.31 \text{ J K}^{-1} \text{ mol}^{-1}$ . A temperature correction, using the formula given by Takahashi et al. (1993), was made to convert the fugacity of  $\text{CO}_2$  (in  $\mu\text{atm}$ ) in the equilibrator to the fugacity of  $\text{CO}_2$  at

the sea surface temperature ( $f\text{CO}_2^{\text{sw}}$ ):

$$f\text{CO}_2^{\text{sw}} = f\text{CO}_2^{\text{eq}} \times \exp[0.0423 \times (\text{SST} - T_{\text{eq}})] \quad (3)$$



**Fig. 4.** Location of sampling stations in the second campaign, in September 2014. A less dense sampling grid with 5 transects off the continental shelf westwards Fortaleza covering 20 sampling stations.



For ambient air, assuming 100% vapor water saturation, the fugacity of CO<sub>2</sub> in the atmosphere ( $f\text{CO}_2^{\text{air}}$ ) was calculated from the molar fraction of atmospheric CO<sub>2</sub> ( $x\text{CO}_2^{\text{air}}$ ):

$$f\text{CO}_2^{\text{air}} = x\text{CO}_2^{\text{air}} \times (\text{Patm} - \text{Pw}(\text{SST})) \times \exp[(B + 2\delta)\text{Patm}/RT] \quad (4)$$

Patm is the atmospheric pressure and Pw(SST) is the water vapor pressure at the sea surface. The accuracy of the fugacity of CO<sub>2</sub> in seawater and in air is estimated at  $\pm 2 \mu\text{atm}$ .

### 3.5. Calculation of CO<sub>2</sub> air-sea flux

The net sea-air CO<sub>2</sub> fluxes ( $F$ ,  $\text{mmol m}^{-2} \text{d}^{-1}$ ) were then calculated using:

$$F = k K_0 (f\text{CO}_{2\text{sw}} - f\text{CO}_{2\text{air}}) \quad (5)$$

where  $K_0$  is the solubility of CO<sub>2</sub> as a function of SST and SSS (Weiss, 1974) and  $k$  is the gas transfer velocity coefficient which was determined previously by many authors (Wanninkhof, 1992; Nightingale et al., 2000; Sweeney et al., 2007; Takahashi et al., 2009). In this study,  $k$  was estimated using the recently updated formula in Wanninkhof (2014), which is consistent with wind speed product used.  $Sc$  represents the Schmidt number. A positive flux means a source of CO<sub>2</sub> to the atmosphere.

$$k = 0.251 U_{10}^2 (Sc/660)^{-0.5} \quad (6)$$

### 3.6. Data processing and statistical analysis

SST, SSS and  $f\text{CO}_2$  data measured continuously were processed using MATLAB® routines. To obtain the horizontal distribution of state parameters (SST, SSS and  $f\text{CO}_2$ ), a grid over the entire sampled area was constructed to facilitate the visualization of the spatial distribution of the surface. The characteristic area of influence of each sample was determined using Voronoi polygons (Aurenhammer and Klein, 1996).

The vertical scale was 1.0 m, which is the resolution of the reduced data and the representative area given by Voronoi polygons. It consists of the positioning of a convex polygon plan in which each polygon contains exactly the genitor sampling station and all the area closer to the sampling point values from its next adjacent station (Okabe et al., 2008; Aurenhammer and Klein, 1996). Along the external perimeter when the use of polygons was not possible, the 20 and 70 m isobaths or the intersections between the stations alignments were used. The data were interpolated and subjected to quality control. To detect and eliminate spurious data, a Gaussian filter based on the gradient of each property was adopted, establishing a maximum range of variation for each property and eliminating any value that exceeded that range (eliminated any values larger or smaller than three times the standard deviation of each range). The uncertainty level is <5%.

Although  $f\text{CO}_2$ , SST and SSS data were obtained continuously, parameters such as Chl *a* and nutrients were collected punctually at each station in both cruises. To investigate the relation between these parameters ( $f\text{CO}_2$ , SST, SSS,  $wsp$ , Chl *a*,  $\text{SiO}_2^-$  and  $\text{PO}_4^{3-}$  and DOC for the second cruise) and  $f\text{CO}_2$  spatial distribution, we performed statistical tests and analysis. For the first cruise, only in 27 stations all parameters were in good quality for statistical analysis and, in the second cruise, 15 stations data were used for statistical analysis. As  $n < 30$  for each cruise, data normality was verified by Shapiro-Wilk test. As data presented non-parametric distribution, we used the Spearman's rank correlation coefficient to check correlation between them. After data normalization by  $z$  scores, we used cluster analysis to classify stations by groups according to the similarities of their parameters using Ward's method by square Euclidian distances.

### 3.7. $\delta^{13}\text{C}$ measures

The carbon isotopic composition of the dissolved organic matter pre concentrated using the Dittmar et al. (2012) procedures was determined, during the second cruise, using an elemental analyzer Flash 2000, with an interface ConFlo IV, combined to the mass spectrometer Delta V Advantage (Thermo Scientific IRMS). The analytical control was performed by sampling reproductions (triplicates <10%) and certified standards (Elemental Microanalysis Protein Standard) above 95% of precision (Rezende et al., 2010).

## 4. Results

### 4.1. Physical and biological settings

In the first cruise,  $wsp$  ranged from 7.3 to 9.1  $\text{m s}^{-1}$  and in the second cruise, from 5.7 to 14.9  $\text{m s}^{-1}$ . Wind data variation found in the second cruise shows the difference between data collected *in situ*, with local and temporary wind effects, and data from wind fields from ECMWF, which represent daily means with smoother variations as obtained for the first cruise. Andri  et al. (1986), during the FOCAL cruises, found  $wsp$  velocities varying from 4.45 to 6.20  $\text{m s}^{-1}$  between 0°–5°S in 35°W also using data from ECMWF reanalysis data sets.

For both cruises, sea surface temperature (SST) and sea surface salinity (SSS) values presented small variations. The mean SST for the first cruise was  $26.73 \pm 0.14$  °C and mean SSS was  $36.45 \pm 0.24$ . In the second cruise, in September 2014, the mean SST was  $26.8 \pm 0.2$  °C and mean SSS was  $36.1 \pm 0.2$ . For October 2012, SST and SSS presented regions with  $\text{SST} > 27$  °C and  $\text{SSS} > 37$  near the coast between 36.5°W and 37°W and in regions further away from the coast. We also observed higher salinities near the Jaguaribe River, where salinities are usually higher than the adjacent continental shelf ( $S > 39$ ) due to high evaporation rates in relation to rainfall and to river damming (Marins et al., 2003). For September 2014, SST distribution was very homogeneous whereas SSS, west of 40°W with values higher than 36 were found. Further east the 40°W there is a big red area stressing SSS values higher than 36 intermixed with lower salinities ( $\text{SSS} < 35.5$ ). Measurements in the tropical Atlantic (5°S–0°) in 1995 found mean SST varying from  $28.2 \pm 0.2$  °C in April to  $26.2 \pm 0.1$  °C in October (Lef vre et al., 1998). During the dry season, the input of freshwater from the continent is negligible, without significant precipitation events and high evaporation rates resulting in small variations over SST and SSS.

Fig. 5 shows the distribution of SST and SSS data on a TS diagram and reveals, for October 2012, the presence of Tropical Water (TW), whose thermohaline indexes indicate water with temperatures higher than 26 °C and salinities higher than 36.2. However, with increasing ratio between the vertical and horizontal scales, at the stations near the coast, a gradual increase in temperature is observed ( $T > 27$  °C) and salinities exceed 36.5, with almost verticality of isopycnals. For September 2014, SST and SSS spatial distribution also shows the presence of TW ( $T > 26$  °C and  $35 < S < 36.5$ ) and data homogeneity by small slope of isopycnals.

In the cruise held in October 2012, forty percent (40%) of the hydrographic stations were located within the continental shelf (depth < 70 m) increasing the aspect ratio of the physical processes (ratio between the horizontal and vertical processes). The decrease in depth associated small rainfall and high evapotranspiration process, semi-arid climate characteristics, increase of the density of tropical water mass (TW) on the continental shelf, whose TS indices are above the isopycnal 24 ( $\sigma_t > 24$ ) (Fig. 5a). This behaviour is corroborated in the Fig. 5b, where 98% (98%) of the hydrographic stations are outside of the continental shelf (depth > 70 m), resulting in TS indices below 24 isopycnal ( $\sigma_t < 24$ ).

Chlorophyll *a* (Chl *a*) concentration in the surface was low during both cruises. The mean Chl *a* values were  $0.09 \pm 0.09 \mu\text{g L}^{-1}$  during October 2012, and  $0.12 \pm 0.08 \mu\text{g L}^{-1}$  during the September 2014 cruise. The results obtained during the oceanographic expeditions of REVIZEE

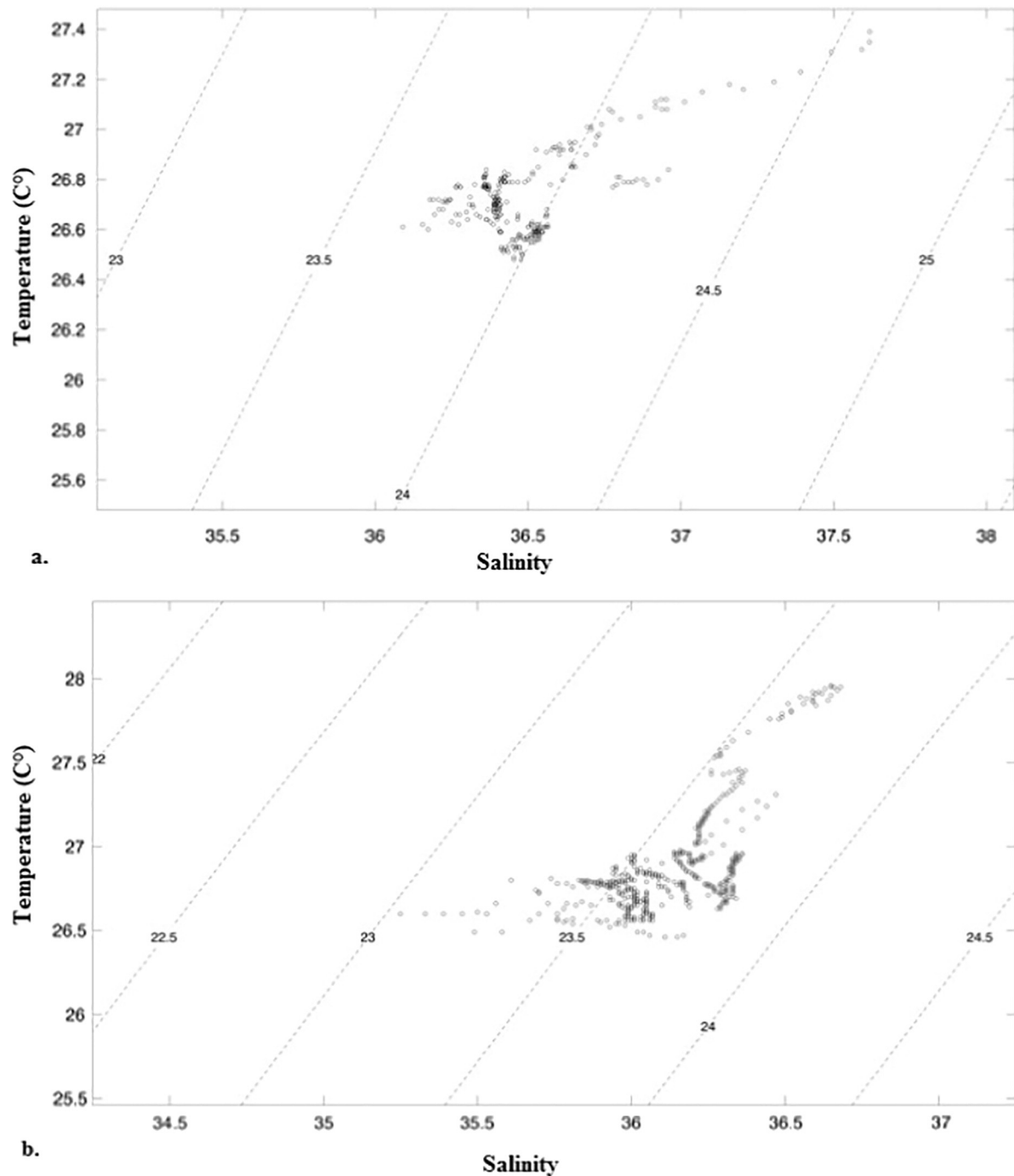


Fig. 5. Diagram showing the relationship between sea surface temperature and salinity during a) October 2012 and b) September 2014.

**Table 1**

Mean values ( $\pm$  standard deviation), minimum, maximum and number of measurements (n) of the chlorophyll *a* and nutrients at the stations during each cruise.

Cruise	Chl <i>a</i> ( $\mu\text{g L}^{-1}$ )	Si-SiO <sub>2</sub> <sup>-</sup> ( $\mu\text{mol L}^{-1}$ )	P-PO <sub>4</sub> <sup>3-</sup> ( $\mu\text{mol L}^{-1}$ )	DOC ( $\mu\text{M}$ )
Oct 2012	(n = 58)	(n = 58)	(n = 58)	(n = 18)
Mean	$0.09 \pm 0.09$	$2.53 \pm 2.57$	$0.17 \pm 0.20$	$53.7 \pm 8.2$
Min	0.01	0.07	0.03	40
Max	0.63	11.28	0.92	67.5
Sep 2014	(n = 20)	(n = 20)	(n = 20)	(n = 18)
Mean	$0.12 \pm 0.08$	$3.72.9 \pm 2.36$	$0.21 \pm 0.34$	$53.7 \pm 8.2$
Min	0.02	0.52	0.02	40
Max	0.40	9.68	1.15	67.5

program for the Brazilian northeast ranged from 0.01 and  $2.84 \mu\text{g L}^{-1}$  during the dry season (Mafalda et al., 2009).

For both cruises, in general, nutrient concentrations were low. Silicate showed the highest concentrations with a mean of  $3.72 \pm 2.36 \mu\text{mol L}^{-1}$  in the first cruise and  $2.92 \pm 2.54 \mu\text{mol L}^{-1}$  in the second cruise. Phosphate values were also low with mean values of  $0.19 \pm 0.20 \mu\text{mol L}^{-1}$  for the first cruise and  $0.21 \pm 0.34 \mu\text{mol L}^{-1}$  for the second cruise (Table 1).

For September 2014, DOC concentrations ranged between 40 and  $67.5 \mu\text{M}$ , with mean concentration of  $53.7 \mu\text{M}$ . The transect adjacent to the SMB region had the highest mean DOC value of  $62.1 \mu\text{M}$ . Mean DOC concentrations found in the continental shelf adjacent to the Paraíba and Jaguaribe River were  $58.5 \mu\text{M}$  and  $48.3 \mu\text{M}$ , respectively.

DOC levels measured in the Brazilian Northeast continental shelf were lower than the expected for tropical systems ( $70\text{--}80\ \mu\text{mol kg}^{-1}$ ) (Hansell et al., 2009). High fresh water inputs into some regions of the tropical ocean due to the presence of large rivers like the Amazon, Orinoco and others (Dai et al., 2012) imply in high DOC concentrations, whereas low river inputs to the continental shelf during the dry season (Dias et al., 2013) in the study site leads to low DOC concentrations.

There are no previous measurements of DOC in this region of the Brazilian northeast continental shelf, mean DOC concentrations in the continental shelf adjacent to the SMB and the Parnaíba River were similar to those observed in oligotrophic waters from the Mediterranean Sea, which ranged between  $57$  and  $68\ \mu\text{M}$  (Santinelli et al., 2010). Low concentrations of DOC ( $56\text{--}76\ \mu\text{M}$ ) were also recorded in coastal waters of the northern Tyrrhenian Sea during a period of weak flow caused by meteorological conditions (Vignudelli et al., 2004).

However, DOC levels near the Jaguaribe River were even lower, like those estimated by remote sensing in the middle continental shelf of the China Sea ( $\sim 45$  to  $60\ \mu\text{M}$ ). These low values correspond to the oligotrophic zone of the China Sea caused by upwelling events that brings DOC-poor waters to surface (Pan et al., 2013, Pan and Wong, 2015).

#### 4.2. Distribution of $f\text{CO}_2$ in the surface seawater and the atmosphere

In October 2012, the mean of  $f\text{CO}_2^{\text{sw}}$  was  $400.2 \pm 6.0\ \mu\text{atm}$  and  $f\text{CO}_2^{\text{air}}$  was  $375.8 \pm 2.0\ \mu\text{atm}$ . The lower value of  $f\text{CO}_2^{\text{sw}}$  was  $388.3\ \mu\text{atm}$  in the regions east of the Jaguaribe River and the highest value reached  $413.9\ \mu\text{atm}$  near Fortaleza, in regions west of the Jaguaribe River. In the second cruise, in September 2014,  $f\text{CO}_2^{\text{sw}}$  values ranged from  $371.9\ \mu\text{atm}$  to  $411.7\ \mu\text{atm}$  and the mean value was  $391.2 \pm 6.4\ \mu\text{atm}$ . The highest values were found near the SMB. The mean  $f\text{CO}_2^{\text{air}}$  value was  $368.9 \pm 2.2\ \mu\text{atm}$ , the maximum  $f\text{CO}_2^{\text{air}}$  value found was  $371.9\ \mu\text{atm}$  and the minimum  $f\text{CO}_2^{\text{air}}$  was  $363.6\ \mu\text{atm}$  (Table 2). These  $f\text{CO}_2^{\text{air}}$  values are in the same range of recently reported values for the west equatorial Atlantic Ocean (Ibáñez et al., 2016; Lefèvre et al., 2014). The  $f\text{CO}_2^{\text{air}}$  values of the entire surveyed area were lower than the  $f\text{CO}_2^{\text{sw}}$  but still very close, with  $\Delta f\text{CO}_2$  ranging from  $+12.5$  to  $+61.6\ \mu\text{atm}$  in the first cruise and from  $+4.1$  to  $+48.2\ \mu\text{atm}$  in the second cruise. The  $f\text{CO}_2^{\text{sw}}$  distributions for the different sampling periods are shown in Fig. 6.

#### 4.3. Statistics

A Spearman correlation matrix of  $f\text{CO}_2$  distribution along the study area with seawater properties such as SST, SSS, wsp, nutrients and Chl *a* for the first cruise is presented in Table 3a. DOC was included for the cruise of September 2014 in Table 3b.

For October 2012, the only significant correlation found was between  $f\text{CO}_2^{\text{sw}}$  and SSS while the other variables showed no significant correlation with  $f\text{CO}_2^{\text{sw}}$ , corroborating with Dias et al. (2013) observations of oceanic intrusion. In this portion of the continental shelf, during

this season, we can infer that the SSS is the parameter that most influences the variability of the  $f\text{CO}_2^{\text{sw}}$  and that this region has a similar behaviour to the open ocean waters, probably being controlled by physical parameters throughout the year.

However, for the September 2014, with broadening of the study area, reaching receptors of larger rivers in the westernmost part of the continental shelf than the area covered in 2012, it was found significant positive correlations between  $f\text{CO}_2^{\text{sw}}$  and physical parameters such as: SST, SSS, wsp but also with Chl *a* and silicate ( $\text{Si-SiO}_2^-$ ) and a negative correlation with phosphate ( $\text{P-PO}_4^{3-}$ ) appears (Table 3b).

This suggests that, despite the low values measured for both Chl *a* and nutrients, they seem to influence the  $\text{CO}_2$  processes in a larger portion of the coast. Probably showing differences between ocean and continental influences from the eastern to the western equatorial coast, with the predominance of ocean forcing at the east.

Phosphorus is absorbed by phytoplankton in the sea surface and together with Nitrogen are limiting nutrients for the photosynthetic activity (Downing, 1997). With the increase of Chl *a*, a decrease in  $f\text{CO}_2$  was expected. There was no significant correlation between  $f\text{CO}_2$  and DOC.

The cluster analysis revealed two distinct groups A and B (Fig. 7). Group A containing only October 2012 stations (Stations starting with 'O' are related to the first cruise) and Group B containing September 2014 stations (Stations starting with 'S' are for stations of the second cruise) and stations of the first cruise (O23 O24 O26 O27) which belong to the same transect (transect 6). It was observed that the subgroups formed separated stations located in the eastern regions from stations in western regions. In general, the stations of the same transect belonged to the same group, showing homogeneity along the transect and the heterogeneity observed was longitudinal, between east and west, reinforcing the hypothesis of transition between two different behaviour areas as we moved to the west.

For October 2012, it was observed no variation between the inner and external continental shelf, showing that during the dry season, the continental influence was not enough to be found off in the ocean. The longitudinal variations (west to east) are more evident. For September 2014, stations of transects 1 and 2 were grouped in subgroup B1 together with October stations from transect 6, and transects 3, 4, 5 and formed a subgroup B2. This separation is probably marking the transition between distinct behaviour areas, as it was observed that highest  $f\text{CO}_2$  values were found in stations 3, 4 and 5 compared with lowest  $f\text{CO}_2$  values of transects 1 and 2.

In addition to the relationships between  $\text{CO}_2$  and physical and chemical parameters, the influence of the continental contribution can be observed by the  $\delta^{13}\text{C}$  distribution in the dissolved organic matter, along the study area where lighter  $\delta^{13}\text{C}$  values predominated in the portions of the continental shelf under stronger influence of river discharges (Fig. 8) (Mangrove:  $\delta^{13}\text{C} -27.9 \pm 1.4\text{‰}$  and Phytoplankton:  $\delta^{13}\text{C} -21.0 \pm 0.8\text{‰}$ ) (Fry et al., 1998; Kuramoto and Minagawa, 2001; Rezende et al., 2010). Also of notice is the existence of the world largest continuous mangrove forest bordering the western portion of the studied area. This forest is a significant carbon source to the continental shelf in the region (Lacerda et al., 2001).

#### 4.4. Air-sea $\text{CO}_2$ flux in the Brazilian equatorial northeast coast

The air-sea flux obtained by calculation was positive for both cruises, indicating that the region works as a source of  $\text{CO}_2$  for the measured periods, as a consequence of the tropical Atlantic high  $f\text{CO}_2$  values.

The average  $\text{CO}_2$  fluxes for the first cruise period was  $+3.28 \pm 1.07\ \text{mmol m}^{-2}\ \text{d}^{-1}$ . The maximum flux value reached  $+7.24\ \text{mmol m}^{-2}\ \text{d}^{-1}$  and occurred in the transect nearby Fortaleza. The lowest flux value was  $+1.66\ \text{mmol m}^{-2}\ \text{d}^{-1}$ .

The estimated flux for September 2014 was also positive throughout the course of the cruise and the mean value was  $5.87 \pm 3.06\ \text{mmol m}^{-2}\ \text{d}^{-1}$ . The flux values reached a maximum of  $14.62\ \text{mmol m}^{-2}\ \text{d}^{-1}$  in  $41^\circ\text{W}$  and a minimum value of  $0.89\ \text{mmol m}^{-2}\ \text{d}^{-1}$  in  $37^\circ\text{W}$ .

**Table 2**

Mean values ( $\pm$  standard deviation), minimum, maximum and number of measurements (n) of the fugacity of  $\text{CO}_2$  in surface water ( $f\text{CO}_2^{\text{sw}}$ ) and in the atmosphere ( $f\text{CO}_2^{\text{air}}$ ), SST, SSS and wind speed for each cruise.

Cruise	$f\text{CO}_2^{\text{sw}}$ ( $\mu\text{atm}$ )	$f\text{CO}_2^{\text{air}}$ ( $\mu\text{atm}$ )	SST ( $^\circ\text{C}$ )	SSS (psu)	wsp ( $\text{m.s}^{-1}$ )
Oct 2012 (n = 310)	(n = 14)	(n = 433)	(n = 433)	(n = 415)	
Mean	$400.9 \pm 7.31$	$375.8 \pm 2.0$	$26.73 \pm 0.14$	$36.45 \pm 0.24$	$8.1 \pm 0.52$
Min	388.3	373.1	26.48	36.09	7.3
Max	428.7	378.6	27.39	37.6	9.1
Sep 2014 (n = 1069)	(n = 1069)	(n = 1069)	(n = 1069)	(n = 1104)	
Mean	$391.1 \pm 6.3$	$368.9 \pm 2.2$	$26.8 \pm 0.2$	$36.1 \pm 0.2$	$11.2 \pm 2.07$
Min	371.9	363.5	26.5	34.3	5.7
Max	411.7	371.9	28	36.7	14.9

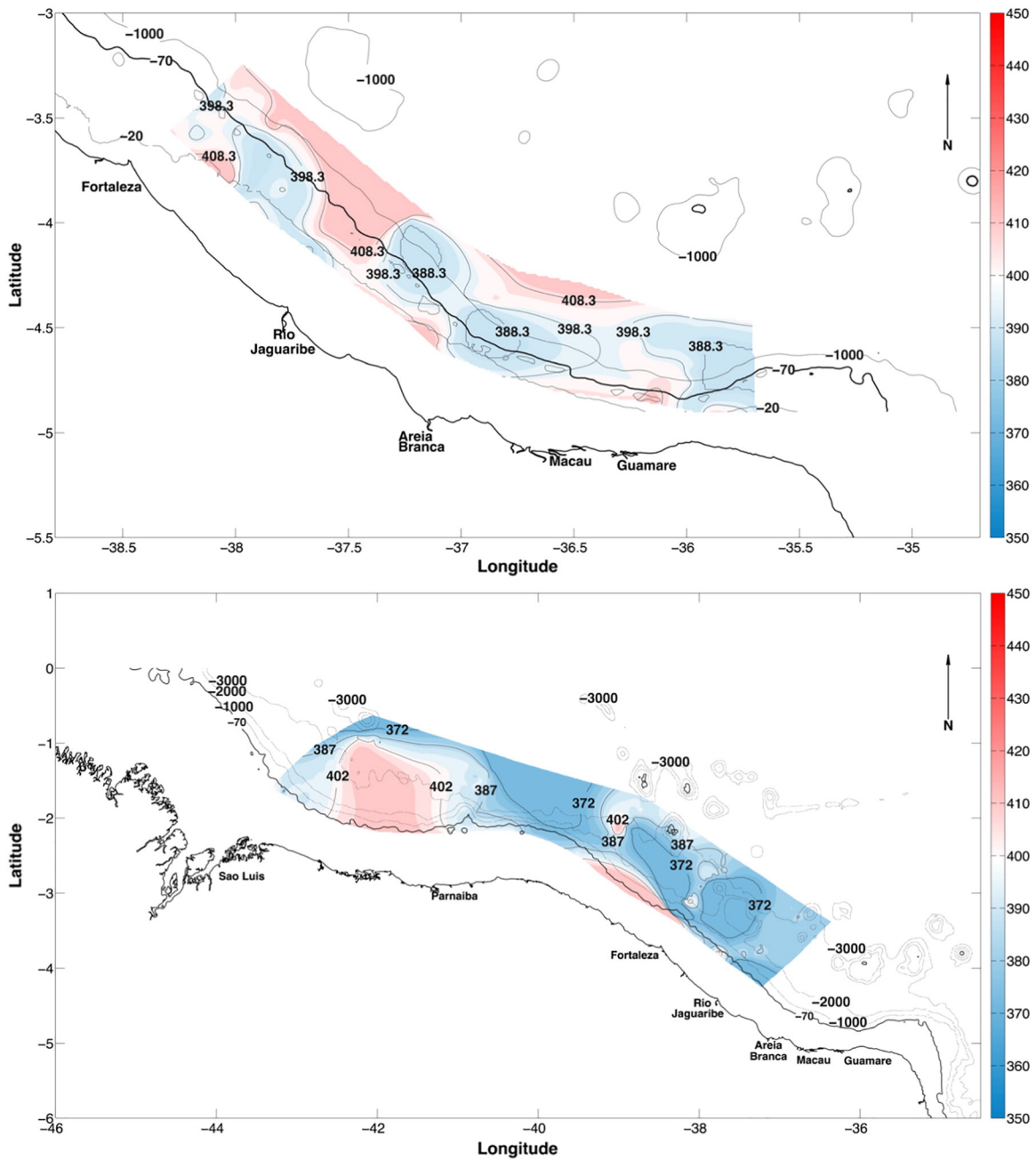


Fig. 6. a)  $f\text{CO}_2^{\text{sw}}$  spatial distribution during the first campaign, October 2012. b)  $f\text{CO}_2^{\text{sw}}$  distribution during the September 2014 campaign.

Andrié et al. (1986) found global mean net  $\text{CO}_2$  flux from the ocean to the atmosphere of  $1.05 \text{ mmol m}^{-2} \text{ d}^{-1}$  between  $5^\circ\text{N}$  and  $5^\circ\text{S}$ . They also found that the release of  $\text{CO}_2$  increases from east to west. Lefèvre et al. (2014) calculated the mean  $\text{CO}_2$  fluxes for March 2009 and July

2010 and found the area south of  $2.5^\circ\text{S}$  as a strong source of  $\text{CO}_2$  with fluxes  $> 3 \text{ mmol m}^{-2} \text{ d}^{-1}$ .

Plotting the  $\text{CO}_2$  flux values of the two cruises together, the values obtained for the first cruise are in the same range as those obtained in

Table 3a

Spearman's rank correlation coefficients for variables of the October 2012 cruise ( $n = 27$ ).

	$f\text{CO}_2^{\text{sw}}$	SST	SSS	Wsp	Chl <i>a</i>	Si-SiO <sub>2</sub> <sup>-</sup>	P-PO <sub>4</sub> <sup>3-</sup>
$f\text{CO}_2^{\text{sw}}$	1						
SST	0.26	1					
SSS	-0.62*	-0.40*	1				
Wsp	0.32	0.29	-0.46	1			
Chl <i>a</i>	-0.24	-0.19	0.24	-0.32	1		
Si	0.26	0.21	-0.05	-0.02	0.01	1	
P-PO <sub>4</sub> <sup>3-</sup>	0.10	0.20	-0.23	0.34	-0.16	0.37	1

\* Marked correlations are significant at  $p < 0.05$ .

Table 3b

Spearman's rank correlation coefficients for variables of the cruise of September 2014 ( $n = 15$ ).

	$f\text{CO}_2^{\text{sw}}$	SST	SSS	Wsp	Chl <i>a</i>	Si-SiO <sub>2</sub> <sup>-</sup>	P-PO <sub>4</sub> <sup>3-</sup>	DOC
$f\text{CO}_2^{\text{sw}}$	1							
SST	0.56*	1						
SSS	0.53*	0.15	1					
Wsp	0.61*	0.16	0.74*	1				
Chl <i>a</i>	0.51*	0.16	0.58*	0.60*	1			
Si	0.52*	0.24	0.28	0.37	0.28	1		
P-PO <sub>4</sub> <sup>3-</sup>	-0.75*	-0.63*	-0.41	-0.60*	-0.40	-0.35	1	
DOC	0.39	0.52*	0.56*	0.41	0.53*	-0.04	-0.70*	1

\* Marked correlations are significant at  $p < 0.05$ .



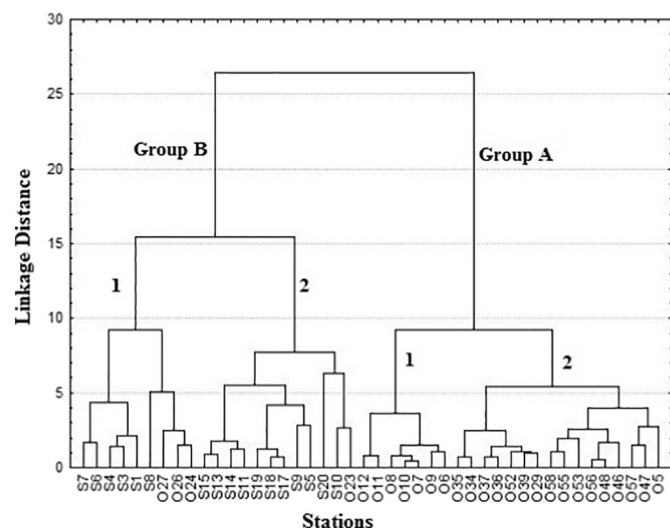


Fig. 7. Cluster Analysis of  $f\text{CO}_2$  and physical and chemical parameters measured in the studied area. Stations starting with 'O' October 2012 cruise; Stations starting with 'S' are from September 2014 cruise.

the same longitudes during the second cruise (Fig. 9), showing good agreement between data in the same region and in the same season.

Studies assessing the variations of this source over time have led to different conclusions (Andrié et al., 1986; Oudot et al., 1995), there is no consensus over this subject yet. Local increase or decrease of  $f\text{CO}_2$  can be induced by vortices and may be associated with current circulation. More data need to be evaluated to better detect  $\text{CO}_2$  trends in the Tropical Atlantic (Lefèvre et al., 2014).

## 5. Discussion

This study presents  $f\text{CO}_2^{\text{sw}}$ ,  $f\text{CO}_2^{\text{air}}$  and  $\text{CO}_2$  fluxes for an under sampled region of the Brazilian Northeast continental shelf. Despite the small variability expected for this region, a transition of behaviour was

revealed between east and west. This highlights the importance of coastal zones measurements as they can present such a different behaviour when compared to global ocean areas.

For the cruise of October 2012, a condition of limited continental influence, during the sampled period, with the predominance of the intrusion of oceanic water in the continental shelf seems to be dominant during that cruise. The origin of  $\text{CO}_2$  in this region, in the dry season, probably comes from tropical oceanic waters super-saturated with  $\text{CO}_2$  instead of continental contributions. A strong oligotrophic condition is predominant and the primary production is very low in this region during this time of the year. The influence of the terrestrial fluxes of organic matter to the oceans through rivers, observed in many regions of the world (Ludwig et al., 1996), do not occurred here where the rivers' influence depends on an expressive rainy season that does not always occur.

Dias et al. (2013), during an extreme period of maximum river discharge of the Jaguaribe River measured a 6 km long estuarine plume, whose influence extends only to the inner continental shelf and restricted to a 2 meters depth layer at the surface. This indicates that the intrusion of tropical water on the continental shelf is stronger than the continental influence, even when it does exist.

However, in October 2012, the  $f\text{CO}_2^{\text{sw}}$  showed minimum values near the Jaguaribe River contribution. This suggests that only during a strong rainy season, this river may transport nutrients enough to enhance primary production and change the  $\text{CO}_2$  behaviour locally.

In September 2014, the minimum values of  $f\text{CO}_2^{\text{sw}}$  occurred also in the continental shelf adjacent to the Jaguaribe and Parnaíba River contributions. This leads to the hypothesis that after severe rainy season, stronger river discharges with more nutrients reaching the coast together with good light penetration, primary production would be enhanced and  $\text{CO}_2$  could be absorbed. The  $f\text{CO}_2^{\text{sw}}$  values increased westwards showing maximum values adjacent to the São Marcos Bay. At the SMB, high turbidity and action of macrotides ( $>6$  m) are the main causes of the small thickness of the photic zone due to rapid attenuation of light energy, thus causing reduction in absorption of light by phytoplankton and thus reducing photosynthesis (Azevedo et al., 2008). These characteristics combined with higher SSS ( $>36$ ) measured during the cruise near the influence of SMB may favor the increase of  $f\text{CO}_2$ .

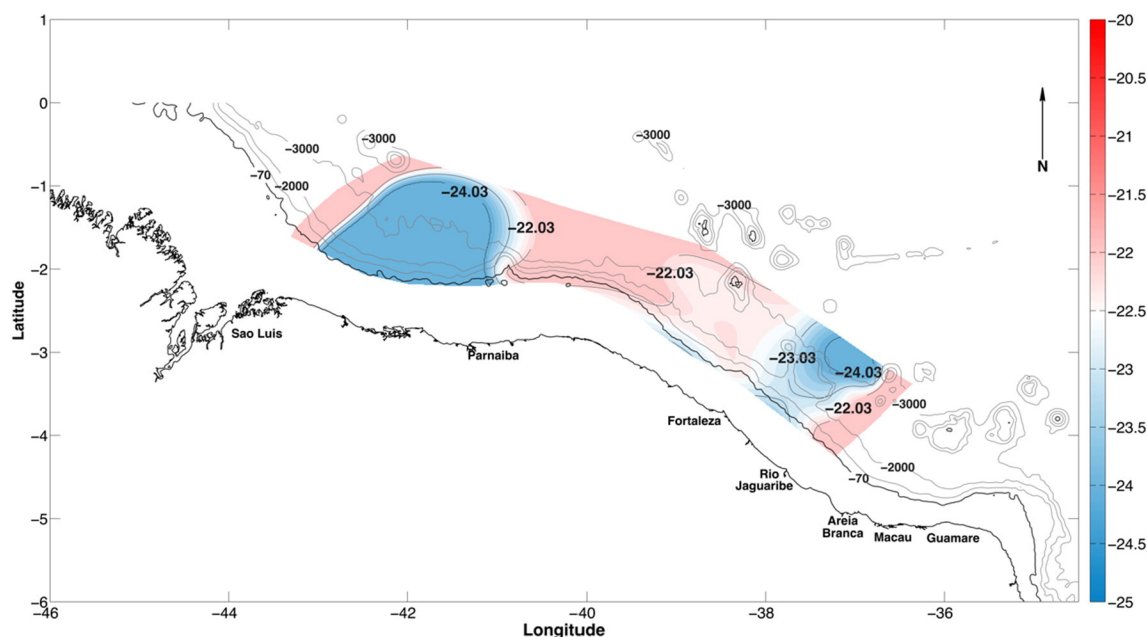


Fig. 8.  $\delta^{13}\text{C}$  distribution in the dissolved organic matter sampled during the September 2014 cruise.

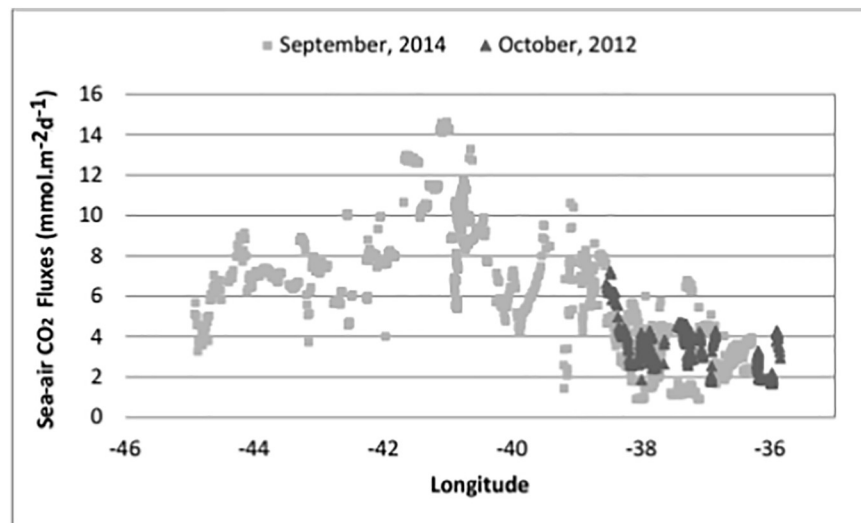


Fig. 9. Sea-air CO<sub>2</sub> fluxes calculated for the two cruises at the Brazilian Northeastern shelf.

### 5.1. $f\text{CO}_2$ measurements in the tropical Atlantic

High  $f\text{CO}_2^{\text{sw}}$  values were measured in other studies in the Tropical Atlantic usually characterizing it as a source of CO<sub>2</sub> to the atmosphere (Andrié et al., 1986; Goyet et al., 1998; Lefèvre et al., 2013). FOCAL Cruises 2, 4, 6 and 8 between 0 and 5°S along 35°W found  $p\text{CO}_2^{\text{sw}}$  between 365 and 408  $\mu\text{atm}$ . Goyet et al. (1998) measurements in the Tropical Atlantic Ocean between 7°30'N and 32°S along 19°W during WOCE A15 found  $p\text{CO}_2^{\text{sw}}$  results resembling those found during FOCAL cruises but an increase of 10  $\mu\text{atm}$  in  $p\text{CO}_2^{\text{ir}}$ , indicating a decrease on CO<sub>2</sub> supply to the atmosphere. Further measurements made in the Tropical Atlantic (20°N–20°S) attributed the supersaturation to the CO<sub>2</sub> supplied from equatorial and coastal upwelling (Lefèvre et al., 1998).

Surface seawater CO<sub>2</sub> concentrations below atmospheric levels due to the influence exerted by the Amazon River discharge, close to the 55° W, were verified by Körtzinger (2003), Lefèvre et al. (2010) and Ternon et al. (2000), but the region sampled in our cruise is not close enough to receive such influence. However, a decrease in  $\Delta f\text{CO}_2$  was evidenced in the second cruise, which reached a transition area where climatic conditions starts to change to humid in comparison with the first one where semi-arid climate domains.

### 5.2. Factors controlling seawater CO<sub>2</sub> fugacity

Temperature increase tends to enhance  $f\text{CO}_2$ , whereas biological activity is also an important factor on  $f\text{CO}_2$  control, mainly over the coast. Based in the statistical analysis, SST was the most significantly driver of  $f\text{CO}_2^{\text{sw}}$  variation. We believe that with more frequent measurements in the region over an annual cycle, it will be possible to improve the SST- $f\text{CO}_2^{\text{sw}}$  relation for the construction of a strong regional algorithm allowing future  $f\text{CO}_2^{\text{sw}}$  predictions to be applied to this part of the Brazilian coast.

## 6. Conclusion

This study represents the first screening of the distribution of the  $f\text{CO}_2$  in the surface waters of the continental shelf off the Brazilian Equatorial Northeastern coast. Data on  $f\text{CO}_2^{\text{sw}}$  indicate that the area is supersaturated with respect to the overlying marine air causing a net CO<sub>2</sub> release to the atmosphere.

CO<sub>2</sub> annual performance needs to be improved with higher sampling frequency along the years so that we can better understand the CO<sub>2</sub> seasonal variations. Different characterization of the eastern and the western portion of the Northeast continental shelf showed different behaviours. The eastern part of the shelf works similarly to the open ocean regarding  $f\text{CO}_2$ , influenced by physical parameters, mostly SSS, whereas the western part of the shelf seems to have a more complex behaviour influenced by physical parameters such as SST, SSS and *wsp* but also by nutrients and Chlorophyll *a*. Even with small concentrations, Chl *a* and nutrients seem to have a significant importance on  $f\text{CO}_2^{\text{sw}}$  distribution on this continental margin.

Anthropogenic impacts to the coastal and estuarine waters tend to increase, with the growth of aquaculture activities and river damming in these regions, this pressure will probably affect the CO<sub>2</sub> behaviour. Generally, global models do not represent these areas well enough, enhancing the need for  $p\text{CO}_2$  measurements and associated air-sea CO<sub>2</sub> fluxes in the continental margins. This work represents the first steps in the study of the coastal region in relation to CO<sub>2</sub> behaviour in this study area. In the last cruise, a Brazilian CO<sub>2</sub> device, constructed by an innovative technological independence effort, was used, contributing to enhance the number of field measurements of the partial pressure of CO<sub>2</sub> in the country's continental margins. We hope that an increase in the number of  $p\text{CO}_2$  measurements in the continental margins can contribute to future calibration of regional and global models.

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